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PTO/SB/05 (12/97)

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>  (Only for new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No.	LORD-US1	Total Pages	56
	First Named Inventor or Application Identifier			
	Frank H. LORD			
	Express Mail Label No.	EL695230467US		

 09/848099  
 05/03/01


<b>APPLICATION ELEMENTS</b>  See MPEP chapter 600 concerning utility patent application contents	TO: <b>Assistant Commissioner for Patents Box Patent Application Washington, DC 20231</b>
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1. ☒ Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing)
2. ☒ Specification [Total Pages [ 39 ]]
3. ☒ Drawing(s) (35 USC 113) [Total Sheets [ 11 ]]
4. ☒ Oath or Declaration [Total Sheets [ 2 ]]
- a. ☒ Newly executed (original or copy)  
 b. ☐ Copy from a prior application (37 CFR 1.63(d))  
 (for continuation/divisional with Box 17 completed) [Note Box 5 below]
  - i. ☐ DELETION OF INVENTOR(S) Signed statement attached deleting Inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (usable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Copy b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

### ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73 (b) Statement (when there is an assignee) ☐ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
14. ☒ Small Entity Statement(s) ☒ Statement filed in prior application. Status still proper and desired
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Other: a) Make the references on the parent application(s) of record herein (see MPEP 2001.06(b)).  
b)

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: \_\_\_\_\_

### 18. CORRESPONDENCE ADDRESS

  <b>00545</b>  PATENT TRADEMARK OFFICE	<b>Anthony H. Handal Reg. No. 26,275</b> <b>Roger Pitt Reg. No. 46,996</b> <b>Phone: (203) 838-8589</b> <b>Fax: (203) 838-8794</b>
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05/03/01

# FEE TRANSMITTAL

## FOR FY 2001

*Patent fees are subject to annual revision.*

### Complete if Known

		Application Number	Unknown
		Filing Date	Herewith
		First Named Inventor	Frank Lord
		Examiner Name	Unknown
		Group / Art Unit	Unknown
Total Amount of Payment	\$445.00	Attorney Docket No.	LORD-US1

### METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 08-0570

Deposit Account Name: Handal & Morofsky

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

2. ☒ Payment Enclosed: ☒ Check ☐ Money Order ☐ Other

### FEE CALCULATION

#### 1. BASIC FILING FEE:

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee(s)	Fee Code	Fee(s)		
101	710	201	355	Utility filing fee	355.00
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	
SUBTOTAL (1)					(\$)355.00


#### 2. EXTRA CLAIM FEES:

		Extra Claims	Fee from below	Fee Paid
Total Claims	[ 30 ] -20**	= [ 10 ]	x [ 9 ]	= [ 90 ]
Independent Claims	[ 3 ] -3**	= [ 0 ]	x [ 40 ]	= [ 0 ]
Multiple Dependent		= [ ]	x [ ]	= [ ]

\*\* or number previously paid, if greater, For Reissues, see below

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee(s)	Fee Code	Fee(s)		
103	18	203	9	Claims in excess of 20	\$90.00
102	80	202	40	Independent claims in excess of 3	\$
104	270	204	135	Multiple dependent claim, if not paid	
109	80	209	40	**Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$)90.00

Application Number: Unknown Filing Date: Herewith

FEE CALCULATION (continued)					
3. ADDITIONAL FEES					
Large Entity		Small Entity			
Fee Code	Fee(s)	Fee Code	Fee(s)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Statement	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify) _____					
Other fee (specify) _____					
* Reduced by Basic Filing Fee Paid				<b>SUBTOTAL (3)</b>	<b>(\$)</b>
SUBMITTED BY				Complete (if applicable):	
Typed or Printed Name	Anthony H. Handal/ Roger Pitt			Reg. Number	26,275/46,996
Signature			Date	May 3, 2001	Deposit Acct User ID 08-0570



05/03/01

05-04-01

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District of Columbia Office  
 American Center - Tysons Corner  
 Suite 500  
 8300 Boone Boulevard  
 Vienna, Virginia 22182

May 3, 2001

**BOX PATENT APPLICATION**

Assistant Commissioner for Patents  
 Washington, D.C. 20231



Re: Frank H. LORD  
 New U.S. Non Provisional Patent Application  
 Application No. Unknown Filed Herewith  
 Claiming priority of US Prov Appln: 60/201,793  
 Attorney Docket No. LORD-US1  
"BROADCAST MULTIMEDIA DELIVERY SYSTEM"

SIR:

We enclose herewith:

- [X] Utility Patent Application Transmittal
- [X] Fee Transmittal Sheet
- [X] Specification (39 pages including 7 pages w/claims and 1 page Abstract)
- [X] Declaration
- [X] Eleven (11) Sheets of Drawings
- [X] Check for \$445.00 (\$355.00 Basic Filing Fee and \$90.00 Extra Claims Fees)
- [X] Acknowledgment Postcard

The Commissioner is hereby authorized to charge payment of the fees associated with this communication regarding this application, or credit any overpayment to Deposit Account No. 08-0570.

The applicants hereby petition under 37 CFR 1.136 or other applicable rule to have the response period extended the number of months necessary to render the attached communication timely if a petition is required.

Respectfully submitted,

Anthony H. Handal  
 Reg. No. 26,275  
 Roger Pitt  
 Reg. No. 46,996

Express Mail Label No.:  
 EL695230467US

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